

# Holography and the KKLT Scenario

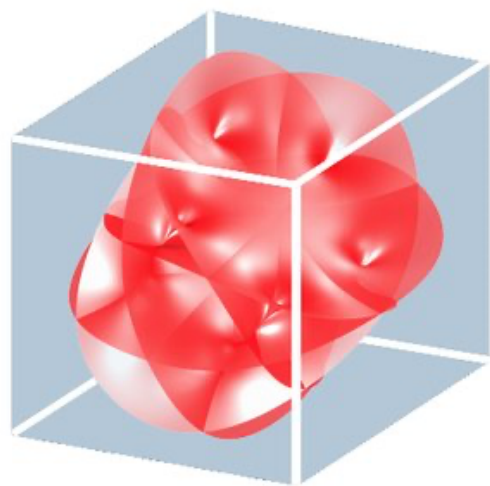
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based on:

S. Lüst, C. Vafa, MW, K. Xu  
[2204.07171]



**HARVARD UNIVERSITY**  
**CENTER OF MATHEMATICAL**  
**SCIENCES AND APPLICATIONS**



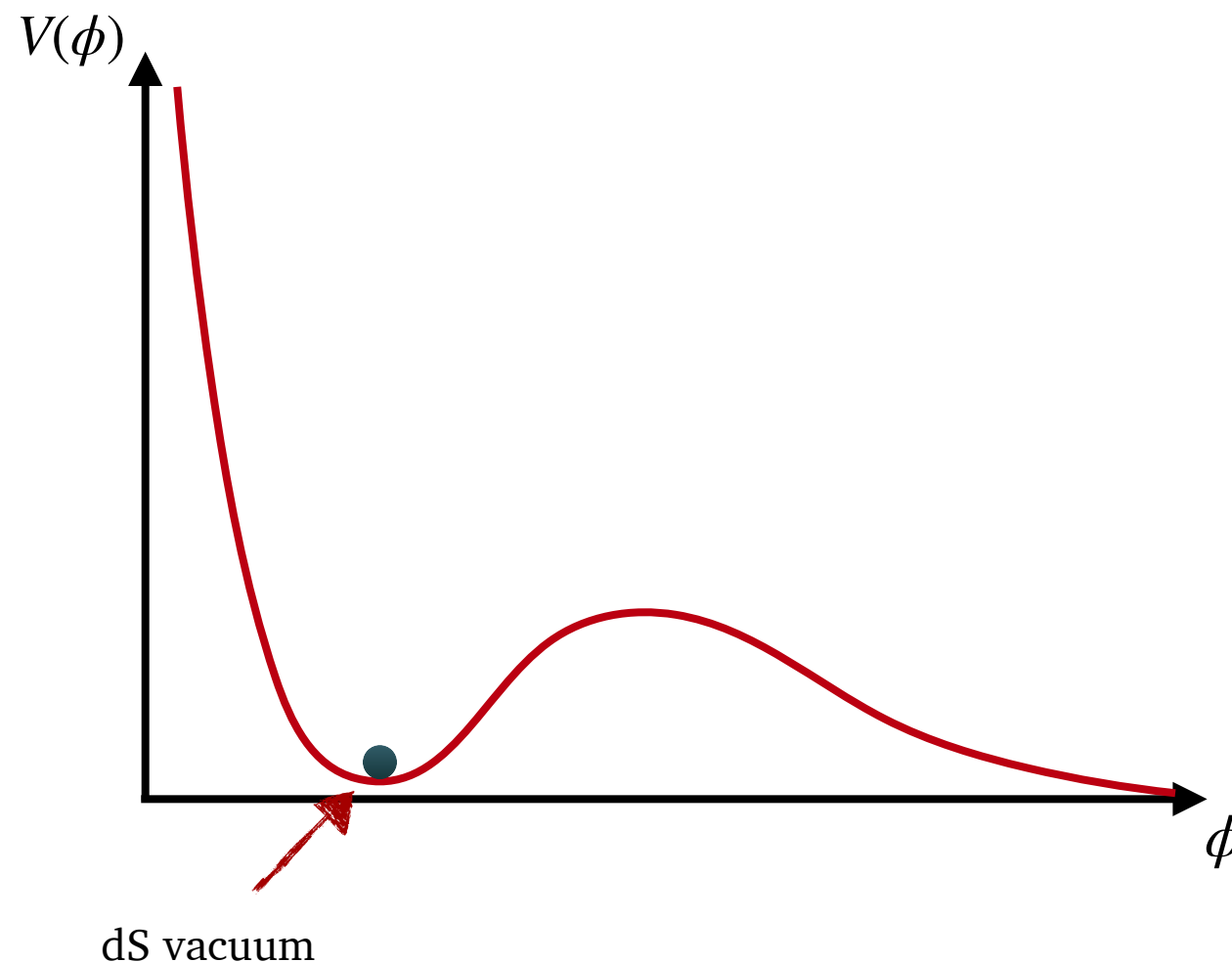
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# Introduction

At large scales, our Universe seems to be homogeneous and with small, but positive, cosmological constant:  $\Lambda > 0$

→ should be describable by a quasi-de Sitter geometry.

→ look for models that allow for vacua with positive cosmological constant.



**Problem:** (quasi-)dS solutions are very difficult to obtain in consistent theory of gravity!

[Obied, Ooguri, Spodyneiko, Vafa '18]

e.g. weakly coupled String Theory:

→ always get run-away potentials with slope  $|V'/V|$  too big to realize quasi-dS

[Bedroya, Vafa '19;  
Bedroya, Brandenberger, Loverde, Vafa '19]

# Review: KKLT Scenario (1st step)

Aim: Find dS not in strict weak coupling, but still controllable regime!

→ asymptotic arguments for shape of potential do not apply!

Example: KKLT scenario *(Get dS through uplift of supersymmetric AdS vacuum)*

[Kachru, Kallosh, Linde, Trivedi '03]

- Consider type IIB on Calabi-Yau orientifold  $X_3/\mathbb{Z}_2$  in presence of RR/NS-three form flux  $F_3, H_3$ .

For orientifold:

- Tadpole cancellation requires:  $\frac{\chi(X_4)}{24} = N_{D3} + \frac{1}{2} \int F_3 \wedge H_3$

$$\frac{\chi(X_4)}{24} = \frac{1}{4} (N_{O3} + \chi(O7))$$

- Scalar potential given by:  $V = e^K \left( g^{a\bar{b}} D_a W \bar{D}_{\bar{b}} \bar{W} - 3 |W|^2 \right)$

- Supersymmetric vacuum corresponds to solutions to  $D_a W = 0$

$$W = \int \Omega_3 \wedge (F_3 - \tau H_3) + \sum_{\mathbf{k}} \mathcal{A}_{\mathbf{k}}(z^i, F_3, H_3) e^{-2\pi k^\alpha T_\alpha}$$

Complex structure  
moduli

Kähler moduli

For perturbative control:  $e^{-2\pi k^\alpha T_\alpha} \ll 1$

→ Solving  $D_a W = 0$  also requires

$$\int \Omega \wedge (F_3 - \tau H_3) \ll 1$$

Potential at the minimum  
given by:

$$V_0 = -3 \left( \underbrace{e^K |W|^2}_{\ll 1} \right) \Big|_{D_a W=0}$$

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# F-term equations and attractors

**Question: Can the first step of KKLT be completed?**

*i.e. are there supersymmetric AdS vacua in type IIB/F-theory flux compactifications with exponentially small cosmological constant?*

**Strategy: Use dual supersymmetric brane picture!**

Observation: if we define  $|\mathcal{Z}| = e^{K/2} |W|$  the F-term equations  $D_a W = 0$  translate to:

$$\partial_a |\mathcal{Z}| = 0 \text{ and cosmological constant is given by } \Lambda = -3 |\mathcal{Z}|^2$$

Reminiscent of attractor equations for black holes!

[Ferrara, Kallosh, Strominger '95]

cf. also [Kallosh '05]

Recall, e.g.  $\frac{1}{2}$ -BPS black holes in type IIB CY compactifications:

- D3-branes on special Lagrangian 3-cycles in CY 3-fold.

$$\text{Define: } |Z| = \left| \frac{\int_{L_3} \Omega_3}{\int \Omega_3 \wedge \bar{\Omega}_3} \right|$$

Attractor:  $\partial |Z| = 0$ .

Fix moduli at horizon of BH with near-horizon geometry  $AdS_2 \times S^2$ .

$|Z|_{\text{crit}}$  can be identified with mass of black hole.

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# Dualizing the Flux

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Inspired by BPS black hole attractor, interpret F-term equations as attractor equations for BPS branes dual to flux.

- Here: Consider M-theory version of KKLT, i.e. M-theory on CY fourfold with  $G_4$ -flux
- Want to find KKLT-like  $AdS_3$  vacua  $\rightarrow$  statistical arguments for KKLT should equally well apply in this case.
- Similar to D3-brane BH example can dualize the  $G_4$ -flux into branes



cf. [Silverstein '03]

M5-branes  
wrapping dual cycles

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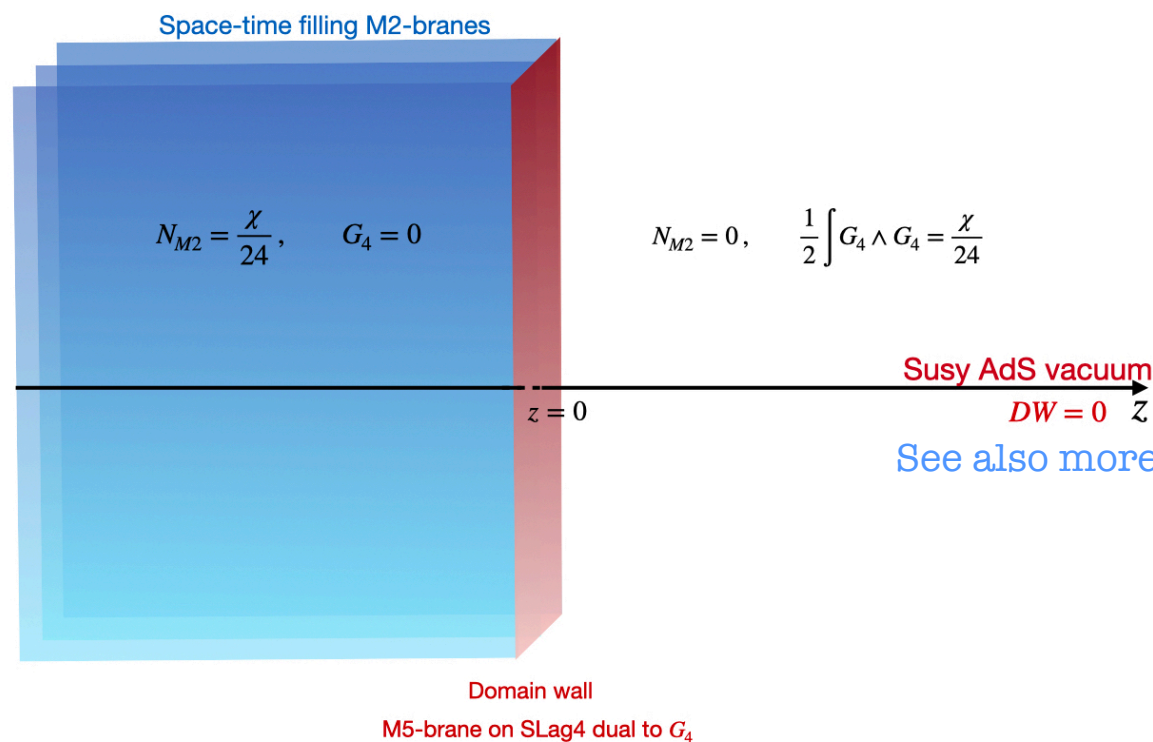
- BPS equations:  $ds^2 = e^{2D(z)}(-dt^2 + dx^2) + dz^2$ .

$$\frac{dD}{dz} = -\zeta |\mathcal{F}|, \quad \zeta = \pm 1$$

$$\frac{d\phi^a}{dz} = 2\zeta g^{a\bar{b}} \partial_{\bar{b}} |\mathcal{F}|,$$

[Cvetič, Griffies, Rey '92]

See also more recent discussion in [Bandos, Farakos, Lanza, Martucci, Sorokin '18;  
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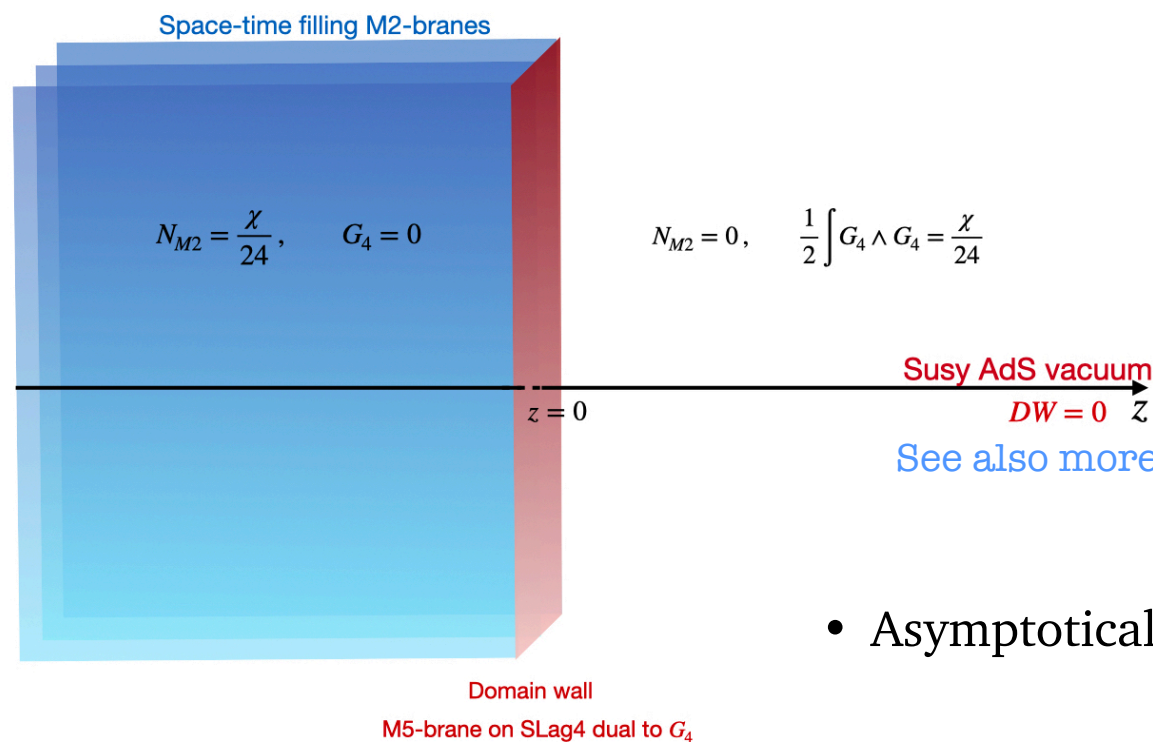
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- Asymptotically far away can realize supersymmetric AdS vacuum if  $\partial |\mathcal{Z}| = 0$  and  $D(z) = \text{const.}$
- If such supersymmetric solution exists:  $|\mathcal{Z}|_{\text{crit}} = \text{tension of domain wall}$

# Membrane Picture

- Want supersymmetric AdS: Domain walls need to be **1/2-BPS**.
- Only for 1/2 BPS domain wall can interpret  $|\mathcal{Z}|_{\text{crit}}$  as tension of domain wall.

We are interested in primitive  $G_4$ -fluxes, i.e.  $J \wedge G_4 = 0$ :

→ BPS-domain wall obtained from M5-brane on **Special Lagrangian cycles**\*!

*\*this is a  
stronger condition than the  
usual self-duality condition on  $G_4$*

- $L_4$  is **special Lagrangian**:  $J_4|_{L_4} = 0, \quad \text{Im}(e^{i\alpha}\Omega_4)|_{L_4} = 0$

- Tension of domain wall depends on **all moduli** (even without non-pert. corrections):

$$\mathcal{Z}_{\text{cl}} = e^{(K_{\text{c.s.}} + K_{qK})/2} \int_{L_4} \Omega_4$$

- Consequence of non-factorization of moduli space:  $\mathcal{M} \neq \mathcal{M}_{\text{c.s.}} \times \mathcal{M}_{qK}$

Question: Can the tension of an M5-brane on Slag cycle be arbitrarily small at the attractor point?

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# Dual Picture

**Question: Can the tension of a supersymmetric BPS domain wall be arbitrarily small at the attractor point?**

- $|\mathcal{Z}|_{\text{crit.}}$  related to the # of massless degrees of freedom on the brane.
- Worldvolume theory on M5-brane on Slag 4-cycle has  $\mathcal{N} = (1,1)$  supersymmetry in 2d.
- Massless degrees of freedom correspond e.g. to deformations of 4-cycle.
- Classical geometry: Deformations of Slag cycles is exact moduli space!
- But  $\mathcal{M}_{\text{M-theory}} \neq \mathcal{M}_{\text{c.s.}} \times \mathcal{M}_{\text{K}} \rightarrow$  deformations can be lifted by corrections!

Worldvolume theory on M5-brane in the UV just a QFT, but can flow in the IR to CFT dual to AdS vacuum!

$\mathcal{N} = (1,1)$  QFT in UV



$\mathcal{N} = (1,1)$  CFT in IR

- We can then identify:  $l_{\text{AdS}_3} \sim c_{\text{IR}} \sim |\mathcal{Z}|_{\text{crit.}}^{-1}$ .
- By c-theorem  $c_{\text{UV}} \geq c_{\text{IR}} \rightarrow$  to get a bound it is sufficient to count  $c_{\text{UV}}$

**Question: For cycles compatible with tadpole cancellation can the central charge be exponentially large?**

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# Central charge

Want to find **parametric growth** of the UV central charge  $c_{UV}$  for WV theory on M5-brane on Slag 4-cycle.

**Parametric growth:** How does  $c_{UV}$  behave under rescaling  $L_4 \rightarrow NL_4$ ?

*(Are interested in the large  $N$  regime where statistical arguments for flux compactifications should apply)* [Bousso, Polchinski '00; Douglas '03; Denef, Douglas '04]

M5-brane on Slag  
 $L_4 \subset CY_4$

What are the d.o.f. from the reduction of M5-brane action?

- 6d tensor multiplet yields  $b_2^+(L_4)$  right-moving and  $b_2^-(L_4)$  left-moving scalars.
- Tangent space of Slag deformations of  $L_4$ :

$$T_{L_4}(\mathcal{M}) = H^0(L_4, \mathcal{N}) = H^0(L_4, T^*L_4)$$

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- Central charge:

$$c_{UV} = \frac{3}{2} (2 + b_2^+ + b_2^- + 2b_1) = \frac{3}{2} (\chi(L_4) + 4b_1)$$

- For Slag cycle have:  $\chi(L_4) = L_4 \cdot L_4$

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- Expect  $c_{UV}$  to grow like:  $c_{UV}(NL_4) \sim N^2 c_{UV}(L_4)$

- $b_1(L_4)$  should also not grow faster than  $aL_4 \cdot L_4$

*(In orientifold limit can support  
this through black hole arguments)*

*see [Lüst, Vafa, MW, Xu '22]*

- From RG flow:  $c_{IR} \leq c_{UV} \lesssim \beta \chi(L_4)$

- Tadpole cancellation:

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- From RG flow:  $c_{IR} \leq c_{UV} \lesssim \beta \chi(L_4)$

- Tadpole cancellation:

$$\frac{\chi(X_4)}{24} = N_{D3} + \frac{1}{2} L_4 \cdot L_4$$

**Central charge of M5-brane on Slag 4-cycle bounded by the Tadpole!**

# Bounding $l_{AdS}$

UV central charge is parametrically bounded by  $c_{UV} \leq \beta\chi(L_4)$

- By tadpole cancellation  $\chi(L_4)$  itself is bounded by  $\chi(X_4)/24$ .
- IR central charge and AdS radius related by  $c_{IR} \sim l_{AdS_d}^{d-2}$ .

- For  $AdS_3$  vacua find:

$$l_{AdS_3} \leq \frac{\chi(X_4)}{24}$$

- Largest known Euler characteristic for CY four-fold 1 820 448  
→  $l_{AdS_3} \lesssim \mathcal{O}(10^5)$  [Klemm, Lian, Roan, Yau '97; Taylor, Wang '15]

Question: Are these AdS vacua indeed weakly coupled as in the KKLT scenario?

Consider the species scale  $\hat{=}$  scale at which gravity becomes strongly coupled in the presence of  $N$  light particle species. [Dvali '07]

$$\Lambda_{\text{species}} = \frac{M_{\text{pl}}}{N^{1/(d-2)}} \quad \chi(X_4) = 6(8 + \underbrace{h^{3,1} + h^{1,1} - h^{2,1}}_{\sim N}), \text{ such that parametrically } N \gtrsim \chi(X_4)$$
$$\Rightarrow \frac{\Lambda_{\text{species}}}{M_{\text{pl}}} \sim \frac{1}{\chi(X_4)}$$



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# AdS scale vs. species scale

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Compare species and AdS scale (here 3d):

$$\frac{\Lambda_{\text{AdS}}}{M_{\text{pl}}} \gtrsim \frac{1}{\chi(X_4)} \longleftrightarrow \frac{\Lambda_{\text{species}}}{M_{\text{pl}}} \lesssim \frac{1}{\chi(X_4)}$$

**AdS scale is at or below the species scale!**

→ AdS is necessarily strongly coupled.

→ Cannot trust even the vacua with small  $\Lambda$ .

Same also works in 4d, since species scale and AdS scale have the same dimension dependence:

$$\frac{\Lambda_{\text{AdS}_d}}{M_{\text{pl}}} \sim \frac{1}{c^{1/d-2}} \overset{N, c \sim \chi}{\longleftrightarrow} \frac{\Lambda_{\text{species}}}{M_{\text{pl}}} \sim \frac{1}{N^{1/d-2}}$$

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**From this perspective: KKLT-like SUSY AdS vacua  
should not be realizable!!**

# Conclusions

- Considered the **first step of KKLT** scenario (supersymmetric AdS vacuum from flux compactification) from a **dual brane perspective**.
- Used **"conventional" holography** and **replaced flux by 5-branes**.  
cf. [Silverstein '03]
- Supersymmetry equations  $DW = 0$  identified as **attractor equations**  
→ supersymmetric vacuum requires **supersymmetric brane!** cf. [Kallosh '05; Kounnas, Lüst, Petropoulos, Tsimpis '07]
- For simplicity: M-theory analogue of KKLT → supersymmetric vacua dual to branes on **on SLAG cycles!**  
Stronger condition than self-duality of  $G_4$ -flux!  
Not taken into account in e.g.  
[Demirtas, Kim, McAllister, Moritz, (Rios-Tascon) '20,'21]
- AdS scale identified with tension of brane  $|\mathcal{Z}|$  at attractor point.  
→ related to IR degrees of freedom on brane worldvolume.
- UV central charge bounded as:  $c_{UV} \lesssim \frac{\chi(X_4)}{24}$  → AdS cosmological constant bounded by **M2/D3-brane tadpole!**  
i.e. no large  $N$  limit for KKLT AdS vacua!
- AdS scale in fact of **order of the species scale**:  $\Lambda_{AdS} \gtrsim \Lambda_{species}$ .

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**Thank you!!**